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Attorne'y Docket No.: 022041-001420US

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Mark G. Erlander, et al.

Application No.: 10/773,761

Filed: February 6, 2004

For: PREDICTING BREAST CANCER

TREATMENT OUTCOME

Examiner:

Art Unit: 1634

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37

CFR §1.97 and §1.98

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

Also enclosed is a copy of an International Search Report dated March 4, 2005, which was issued in corresponding PCT application no. PCT/US2004/030789, and in which references AU and BC-BJ were cited.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that <u>no fee is required</u> for submission of this statement, because this submission is prior to the issuance of a first Office Action on the merits.

However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

Kawai Lau Reg. No. 44,461

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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	10/773,761	
Filing Date	February 6, 2004	
First Named Inventor	Erlander, Mark G.	
Art Unit	1634	<del>* </del>
Examiner Name		
Attorney Docket Number	022041-001420US	

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Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 1 of 2

	Complete if Known
Application Number	10/773,761
Filing Date	February 6, 2004
First Named Inventor	Erlander, Mark G.
Art Unit	1634
Examiner Name	
Attorney Docket Number	022041-001420US

	U.S. PATENT DOCUMENTS+						
		Document Number					
Examiner Initials*	Cite No. <sup>1</sup>	Number Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
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	FOREIGN PATENT DOCUMENTS							
Examiner Cite	Foreign Patent Document			Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant		
Initials*	No. <sup>1</sup>	Country Code <sup>3</sup>	Number⁴ i	Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	Passages or Relevant Figures Appear	T <sup>6</sup>
	AU	PCT	WO02/10332 0	As	12/27/2002	Dai, et al.		
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Examiner Signature	Date Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 

Applicant's unique citation designation number (optional). 

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Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 

For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 

Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 

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Sheet

stitute for form 1449B/PTO **INFORMATION DISCLOSURE** STATEMENT BY APPLICANT

(use as many sheets as necessary)

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Complete if Known Application Number 10/773,761 Filing Date February 6, 2004 First Named Inventor Erlander, Mark G. Art Unit 1634 Examiner Name Attorney Docket Number 022041-001420US

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Т
	вс	DAIDONE, M.G., et al. "Biomarkers and outcome after tamoxifen treatment in node-positive breast cancers from elderly women", <i>British Journal of Cancer</i> (2000) 82(2):270-277	
	BD	VAN DER FLIER, Silvia, et al. "Bcar 1/p130Cas Protein and Primary Breast Cancer: Prognosis and Response to Tamoxifen Treatment", Journal of the National Cancer Institute, (2000) Vol. 92(2):120-127	
	BE	LUO, L-Y, et al. "Higher expression of human kallikrein 10 in breast cancer tissue predicts tamoxifen resistance", British Journal of Cancer (2002) 86:1790-1796	
· · · · ·	BF	ELLIS, Matthew J., et al. "Neoadjuvant comparisons of aromatase inhibitors and tamoxifen: pretreatment determinants of response and on-treatment effect", <i>Journal of Steroid Biochemistry &amp; Molecular Biology</i> (2003) 86:301-307	
	BG	HILSENBECK, Susan G., et al. "Statistical Analysis of Array Expression Data as Applied to the Problem of Tamoxifen Resistance", <i>Journal of the National Cancer Institute</i> (1999) 91(5):453-459	
	вн	VAN'T VEER, Laura, et al. "Gene expression profiling predicts clinical outcome of breast cancer", Nature (2002) 415:530-536	
	ВІ	MA, Xiao-Jun, et al. "A two-gene expression ratio predicts clinical outcome in breast cancer patients treated with tamoxifen', Cancer Cell (2004) 5:607-615	
	BJ	BECKER, Michael, et al. "Distinct gene expression patterns in a tamoxifen-sensitive human mammary carcinoma xenograft and its tamoxifen-resistent subline MaCa 3366/TAM", Molecular Cancer Therapeutics (2005) 4(1):151-168	
	вк	RAMASWAMY, Sridhar, et al., "A molecular signature of metastasis in primary solid tumors", nature genetics (2003) 33:49-54	
	BL		
	ВМ		

Examiner	Date	
Signature	Considered	

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